

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U24230GJ2012PLC071299

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AADCE1163C

(ii) (a) Name of the company

EARUM PHARMACEUTICALS LI

(b) Registered office address

G1, Ground Floor, V R Complex,
Near Sanathal Cross Road, SP Ring Road, Sanathal.
AHMEDABAD
Ahmedabad
Gujarat
380010

(c) *e-mail ID of the company

earumpharma@gmail.com

(d) *Telephone number with STD code

07948402525

(e) Website

www.earumpharma.com

(iii) Date of Incorporation

26/07/2012

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	Bombay Stock Exchange	1

(b) CIN of the Registrar and Transfer Agent

U99999MH1994PTC076534

Pre-fill

Name of the Registrar and Transfer Agent

BIGSHARE SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

E-3 ANSA INDUSTRIAL ESTATESAKI VIHAR ROAD
SAKINAKA

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	7,000,000	6,167,910	6,167,910	6,167,910
Total amount of equity shares (in Rupees)	70,000,000	61,679,100	61,679,100	61,679,100

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	7,000,000	6,167,910	6,167,910	6,167,910
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	70,000,000	61,679,100	61,679,100	61,679,100

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	308,565	3,085,650	3,085,650	

Increase during the year	5,859,345	58,593,450	58,593,450	48,048,000
i. Public Issues	1,848,000	18,480,000	18,480,000	48,048,000
ii. Rights issue	0	0	0	0
iii. Bonus issue	4,011,345	40,113,450	40,113,450	
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	6,167,910	61,679,100	61,679,100	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	27/09/2019		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			79,578,399
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			22,124,954
Deposit			0
Total			101,703,353

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

551,088,429

(ii) Net worth of the Company

144,129,427

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	4,319,868	70.04	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	14	0	0	
10.	Others	0	0	0	
	Total	4,319,882	70.04	0	0

Total number of shareholders (promoters)

5

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,842,028	29.86	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	6,000	0.1	0	
10.	Others	0	0	0	
	Total	1,848,028	29.96	0	0

Total number of shareholders (other than promoters)

43

**Total number of shareholders (Promoters+Public/
Other than promoters)**

48

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	5	5
Members (other than promoters)	2	43
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	2	1	2	1	56.79	6.62
B. Non-Promoter	0	2	0	2	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	3	2	3	56.79	6.62

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
BHUMISHTH NAREND	02516641	Managing Director	1,751,330	
PAYAL BHUMISHTH P/	05300011	Whole-time directo	1,751,330	
PAYAL BHUMISHTH P/	ATCPP0254C	CFO	0	
NARENDRAKUMAR GA	07017438	Director	408,590	
HETARTH ASHOKKUM	07356470	Director	0	
BHAVIK SHANTILAL P/	08557420	Director	0	
RONAK NARENDRA LA	ADXPL5079Q	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

10

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Parsotambhai Kantilal P	CEHPP0049B	Company Secretar	02/04/2019	Appointment
PAYAL BHUMISHTH P	ATCPP0254C	CFO	13/05/2019	Appointment
ASHNA SANGWAN	GTQPS2919D	CFO	13/05/2019	Cessation
NARENDRAKUMAR G	07017438	Director	07/09/2019	Cessation
BHAVIK SHANTILAL P	08557420	Additional director	12/09/2019	Appointment
BHAVIK SHANTILAL P	08557420	Director	27/09/2019	Change in designation
ALPESH FATEHSINGH	07389212	Director	12/09/2019	Cessation
NARENDRAKUMAR G	07017438	Additional director	26/12/2019	Appointment
Parsotambhai Kantilal P	CEHPP0049B	Company Secretar	26/12/2019	Cessation
RONAK NARENDRA L	ADXPL5079Q	Company Secretar	26/12/2019	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Metting	27/09/2019	74	15	83.03

B. BOARD MEETINGS

*Number of meetings held

15

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	02/04/2019	5	5	100
2	08/04/2019	5	5	100
3	09/04/2019	5	5	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
4	13/05/2019	5	5	100
5	13/06/2019	5	5	100
6	02/07/2019	5	5	100
7	02/08/2019	5	5	100
8	29/08/2019	5	5	100
9	07/09/2019	5	5	100
10	12/09/2019	4	4	100
11	14/09/2019	4	4	100
12	27/09/2019	4	4	100

C. COMMITTEE MEETINGS

Number of meetings held

8

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	29/08/2019	3	3	100
2	Audit Committee	14/10/2019	3	3	100
3	Audit Committee	20/03/2020	3	3	100
4	Stakeholders Forum	20/03/2020	3	3	100
5	Nomination and Remuneration Committee	01/04/2019	3	3	100
6	Nomination and Remuneration Committee	01/05/2019	3	3	100
7	Nomination and Remuneration Committee	01/09/2019	3	3	100
8	Nomination and Remuneration Committee	27/12/2019	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/12/2020
								(Y/N/NA)
1	BHUMISHTH	15	15	100	0	0	0	Yes
2	PAYAL BHUM	15	15	100	0	0	0	Yes
3	NARENDRAK	10	10	100	8	8	100	Yes
4	HETARTH AS	15	15	100	8	8	100	Yes
5	BHAVIK SHAN	15	15	100	8	8	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director



DIN of the director

To be digitally signed by



Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

Shareholder List 2020.pdf
BOARD MEETING.pdf
MGT 8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

CLID	NAME	HOLDING
'1201770100000285	HEM SECURITIES LIMITED AUXILIA PHARMACEUTICALS PRIVATE LIMITED	3000
'1201770101209382	.	14
'1201770101209612	SHASHIKANT VEDPRAKASH SHARMA	14
'1201770101209627	HIMANSHI SHASHIKANT SHARMA	14
'1201770101209631	PAYAL BHUMISHTH PATEL	1751330
'1201770101209777	BHUMISHTH NARENDRABHAI PATEL	1751330
'1202990005096105	RAKESH KUMAR NAUKWAL	3000
'1203140000111291	LAXMI BASANDANI	3000
'1203230000497372	NARENDRAKUMAR GANGARAMDAS PATEL	408590
'1203230000497427	SUSHILABEN NARENDRAKUMAR PATEL	408618
'1203300000053925	NARESH BABUBHAI SHARMA	9000
'1203300000153702	YOGENDRA BABULAL SHARMA	6000
'1203380000097632	MIHIR VISHNUKUMAR PATEL	48000
'1203380000097670	VISHNUKUMAR MATHURBHAI PATEL-HUF	33000
'1203380000135483	PATEL AMITA MIHIR	48000
'1203500001567411	NILAMBEN TARUNKUMAR PATEL	85500
'1203500001567707	GUNVANTLAL NATHALAL PATEL	85500
'1203500001567804	TARUNKUMAR GUNVANTLAL PATEL	88500
'1203500001574503	HIMANSHI SHASHIKANT SHARMA	45000
'1203500001574518	SHASHIKANT VEDPRAKASH SHARMA	123000
'1204280000023486	PRATIK PRAVINKUMAR PATEL	12000
'1206420003719625	RUPAL SHASHANK VYAS	3000
'1206460000082913	HEENA SANJAYBHAI SOLANKI	36000
'1206460000084243	PANKAJ LABHUBHAI DANGAR	9000
'1208160024246944	NITIN GANGADHAR DAHAT	1500
'IN30021419249654	PATEL ANIL R	27000
'IN30021420535934	NIRMAL VALUECOM PRIVATE LIMITED	3000
'IN30123310334199	RACHNA NIRAV PATEL	15000
'IN30123310334203	PATEL NIRAV MANSUKHBHAI	15000
'IN30154956535332	SABITA AGARWAL	3000
'IN30199110281491	SUNIL MUKUNDRAY PATEL	3000
'IN30246110470149	VEER AMIT SHAH	1500
'IN30246110788155	ANKIT ATUL SHAH	4500
'IN30246110795544	BHARAT GEMARBHAI DESAI	24000
'IN30246110795552	RAKESHBHAI RASIKBHAI PATEL	108000
'IN30246110795569	ADITYA VIKRAMBHAI PATEL	114000
'IN30246110795577	DIGVIJAYSINH CHAUHAN	33000
'IN30246110795649	LALJI GANDABHAI DESAI	18000
'IN30246110795657	LAGADHIBHAI KARAMSHIBHAI DESAI	19500
'IN30246110797835	VISHAL MAHENDRABHAI THEKDI	123000
'IN30246110797843	RIPALBEN V THEKADI	117000
'IN30246110799790	PRITI CHANDRESH PATEL	120000
'IN30246110800947	CHANDRESH D PATEL	117000

'IN30246110802223	TARUNKUAMR GUNVANTLAL PATEL	36000
'IN30246110802240	KAMALABEN GUNVANTLAL PATEL	111000
'IN30246110802258	VEDANT TARUNBHAI PATEL	112500
'IN30246110804879	SMIRAL A PATEL	78000
'IN30611411768715	KAVITA CHAUHAN	3000



PRO & CO.

COMPANY SECRETARIES

Address: 622, 6th Floor, Vanijya Bhavan, D.B. Road,
Kankaria, Ahmedabad, Gujarat – 380022

Mobile No: 9461845377, Email: procsahmedabad@gmail.com

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of
Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I/we have examined the registers, records and books and papers of **EARUM PHARMACEUTICALS LIMITED** (“the company”) as required to be maintained under the Companies Act, 2013 (“the Act”) and the rules made thereunder for the financial year ended on **31st March, 2020**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under , as applicable , in respect of:
1. It is status i.e. Listed Public Company Limited by shares under the Act;
 2. Maintenance of registers/records & making entries therein within the time Prescribed therefor;
 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time;
 4. Calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions,
 5. If any and resolutions passed by postal ballot, if any have been properly recorded in the Minute Book/ registers maintained for the purpose and the same have been signed;
No resolution was passed through Postal ballot & No circular resolution passed.
 6. Company was not required to closure of Register of Members/Security holders, as the case may be;





PRO & CO.

COMPANY SECRETARIES

Address: 622, 6th Floor, Vanijya Bhavan, D.B. Road,
Kankaria, Ahmedabad, Gujarat – 380022
Mobile No: 9461845377, Email: procsahmedabad@gmail.com

7. The company has not made any advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
8. During the financial year under review, the Company has entered into Contracts/arrangements with related parties as specified in section 188 of the Act which were in the Ordinary course of business and on an arm's length basis. There were no materially significant transactions with related parties in the financial year which were in conflict with the interest of the company.
9. During the financial year under review the company has issue or allotment or transfer or transmission or buy-back of securities/redemption of preference shares or debentures, alteration or reduction of share capital, conversion of shares securities.
10. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
11. As per audited financial statements for FY 2019-20, there is no declaration/payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with applicable Section of the Act;
12. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
13. The board of Directors of the company is duly constituted. The company was required to appoint any directors, alternate Directors, additional Directors to fill casual vacancies during the year and disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
14. appointment/re-appointments of auditors as per the provisions of section 139 of the Act;
15. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
16. acceptance/ renewal/ repayment of deposits during period: Not Applicable
17. borrowings from its directors, members, public financial institutions, banks and creation/ modification/ satisfaction of charges in that respect, wherever applicable;





PRO & CO.

COMPANY SECRETARIES

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18. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act, if any;
19. No alteration in Memorandum and/ or Articles of Association of the Company.

For PRO & CO.
Company Secretaries




Om Prakash Agrawal

Partner

M. No. 40315

CP No. 19068

Date: 23.04.2021

Place: Ahmedabad

UDIN: A040315C000162976



PRO & CO.

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'Annexure A to Form MGT-8'

To,

EARUM PHARMACEUTICALS LIMITED

Our Certificate on the Annual Return (Form MGT-8) of even date is to be read along with this letter.

1. Maintenance of Secretarial record is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and process as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in the Annual Return (Form MGT-7). We believe that the process and practices, we followed provide a reasonable basis for our opinion.
3. The Compliance of the provisions of Companies Act, 2013, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of records on test basis.

For, PRO & CO.
Company Secretaries



Om Prakash Agrawal

Partner

M. No. 40315

CP No. 19068

Date: 23.04.2021

Place: Ahmedabad

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